



UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/648,439	05/08/97	LAVALLE	E 015288A
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0222/0923

LEGAL AFFAIRS  
GENETICS INSTITUTE INC  
87 CAMBRIDGE PARK DRIVE  
CAMBRIDGE MA 02140

NOT ASSIGNED

1815

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

*Filing Date Granted*

DATE MAILED:

09/23/97

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

*Shane Jones*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

13/1997 ARTVERS 0000061 INH:071060 088 439 130.00 CH C:105



Sector #

GI 5288A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Edward R. LaVALLIE and Lisa A. RACIE  
Serial No. : 08/848,439  
Filed : May 8, 1997  
For : HUMAN SDF-5 PROTEIN AND COMPOSITIONS

October 10, 1997

Hon. Commissioner of  
Patents and Trademarks  
Attention: BOX MISSING PARTS  
Washington, DC 20231

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner of Patents and Trade-  
marks, Washington, D.C. 20231 on October 10, 1997  
(Date of Deposit)

Steven R. Lazar

Name of Attorney for Applicant

Signature

10/10/97  
Date of Signature

**RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Application dated September 23, 1997, Applicants submit herewith the Declaration and Power of Attorney pursuant to 37 CFR §1.53 and §1.63. The Declaration refers to the application's above-identified serial number and filing date. As indicated in the attached copy of the Notice, the deadline for submitting the Declaration is November 23, 1997.

Authorization is hereby given to charge the surcharge of One Hundred Thirty Dollars (\$130.00) and any other fee that may be due in this regard to Deposit Account 07-1060.

Respectfully submitted,

Steven R. Lazar  
Reg. No. 32,618  
Attorney for Applicants  
(617) 498-8260

SUBMITTED IN DUPLICATE

Legal Affairs  
Genetics Institute, Inc.  
87 CambridgePark Drive  
Cambridge, MA 02140

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